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# Annals of the ICRP

ICRP PUBLICATION 1XX

## Radiological Protection from Cosmic Radiation in Aviation

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**EDITORIAL**

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78 *To be drafted*

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**ABSTRACT**

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**Radiological protection from cosmic radiation  
in aviation**

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ICRP Publication 1XX

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Approved by the Commission in Month 201X

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**Abstract**—In this report, the Commission provides updated guidance on the radiological protection from cosmic radiation in aviation taking into account the current ICRP system of radiological protection, the latest available data on exposures in aviation and the experience gained worldwide in their management. The report describes the origins of cosmic radiation, how it exposes passengers and aircraft crew, the basic radiological protection principles that apply to this existing exposure situation, and the available protective actions. For the implementation of the optimisation principle, the Commission recommends a graded approach proportionate with the level of exposure that may be received by individuals. The objective is to keep the exposure of the most exposed individuals to reasonable levels. The Commission also recommends that information be disseminated to raise awareness about cosmic radiation, and to support informed decisions among concerned stakeholders.

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*Keywords:* Cosmic radiation; Aviation; Aircraft crew; Frequent flyers; Graded approach

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**PREFACE**

110 “Well, I made it!” were the first words of aviator Charles Lindbergh after the *Spirit*  
111 *of St. Louis* touched down on Le Bourget airport after flying 5,800 kilometres from  
112 Long Island (*The New York Times*, 21 May 1924). The observers of the time  
113 emphasised the courage of the pioneer against the cold, the weather conditions and  
114 the tiredness – but no one talked about radiation exposure! And for good reason.  
115 Only a handful of scientists were aware of the phenomenon at that time. This  
116 pioneering performance opened the way for transcontinental flights. Since the flight  
117 of Charles Lindbergh, the increase in an airplane’s performance and capacity, low-  
118 cost companies and expansion of tourism, have led to large increases in the number  
119 of air passengers: in 2013, around 3.1 billion flight tickets were sold, and this figure  
120 is expected to double by 2030 (International Civil Aviation Organization Air  
121 Navigation Report, 2014). Furthermore, the business jet market continues to grow at  
122 about 4 % per year, and this fleet is expected to double by 2032. This raises the  
123 potential for a significant increase in individual and collective exposure from cosmic  
124 radiation of aircraft crew and passengers keeping in mind that the opportunities and  
125 mechanisms to control exposure in aircraft are very limited.

126

127 Given this context, the Main Commission of ICRP approved at its meeting in Cape  
128 Town, South Africa, in October 2010, the formation of a Task Group, reporting to  
129 Committee 4, to develop guidance on radiological protection against cosmic  
130 radiation exposure in aviation.

131

132 The terms of reference of the Task Group was to prepare a publication that describes  
133 and clarifies the application of the 2007 Recommendations (*Publication 103*) for the  
134 protection of aircraft crew, and also passengers, against cosmic radiation exposure  
135 and particularly frequent flyers. The publication should discuss the type of exposure  
136 situation relevant to the control of exposures in aviation and the appropriate  
137 radiological protection principles to be implemented. Particular attention should be  
138 given to the implementation of the optimisation principle, which is the cornerstone  
139 of the system of radiological protection recommended by the Commission.

140

141 The membership of the Task Group was as follows:

142

J. Lochard (Chair)	J-F. Bottollier-Depois	W. Rühm
D.T. Bartlett	R. Hunter	H. Yasuda

143

144 Corresponding member was:

145

146 S. Mundigl

147

148 Committee 4 Critical Reviewers were:

149

M. Kai	D.A. Cool
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151

152 Main commission Critical Reviewers were:

153

H. Liu	S. Romanov
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155

156 Acting as Secretary of the Task Group, Sylvain Andresz provided a welcomed  
157 scientific assistance during the preparation of this report. Numerous helpful  
158 comments were also received from Gerhard Fransch, Gérard Desmaris and Frank  
159 Bonnotte. The Task Group would like to thank all of these persons as well as Le  
160 Centre d'étude sur l'Evaluation de la Protection dans le domaine Nucléaire (CEPN,  
161 Fontenay-aux-Roses) for their valuable support.

162

163 The Task Group met on 1-2 February 2011 at CEPN premises at Fontenay-aux-  
164 Roses, France and then worked by correspondence.

165

166 The membership of Committee 4 during the period of preparation of this report  
167 was:

168

169 (2009-2013)

J. Lochard (Chair)	W. Weiss (Vice-chair)	J-F. Lecomte (Secretary)
P. Burns	P. Carboneras	D.A. Cool
T. Homma	M. Kai	H. Liu
S. Liu	A. Mc-Garry	S. Magnusson
G. Massera	K. Mrabit	S. Shinkarev J
J. Simmonds	A. Tsela	W. Zeller

170

171 (2013-2017)

D.A. Cool (Chair)	K-W. Cho (Vice-chair)	J-F. Lecomte (Secretary)
F. Bochud	M. Boyd	A. Canoba
M. Doruff	E. Gallego	T. Homma
M. Kai	S. Liu	A. McGarry
A. Nisbet	D. Oughton	T. Pather
S. Shinkarev	J. Takala	

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**MAIN POINTS**

- 175 • **Cosmic radiation is composed of high-energy particles originating from**  
176 **space and from the Sun. Basically, the higher the altitude and the latitude,**  
177 **the higher the dose rate. Solar eruptions can also affect dose rate of cosmic**  
178 **radiation. As a result, flying in aircraft increases exposure to cosmic**  
179 **radiation.**
- 180 • **Considering that the number of passengers will continue to increase, and**  
181 **aircraft technology will enable planes to fly for longer duration and at**  
182 **higher altitudes, cumulative exposures of aircraft crew and passengers to**  
183 **cosmic radiation are likely to increase. The Commission therefore considers**  
184 **that the development and implementation of a protection strategy is**  
185 **justified.**
- 186 • **Exposure to cosmic radiation, including solar eruptions, is considered by the**  
187 **Commission as an existing exposure situation.**
- 188 • **The Commission continues to consider that the exposure of all aircraft**  
189 **passengers, mainly occasional flyers, but also frequent flyers - for personal**  
190 **needs or for professional duties - should be regarded as public exposure,**  
191 **and that the exposure of aircraft crew should be treated as occupational**  
192 **exposure.**
- 193 • **The Commission also considers that exposure should be maintained as low**  
194 **as reasonably achievable (ALARA) with a dose reference level selected in**  
195 **the 5–10 mSv/year range. Selection of the dose reference level should be**  
196 **done taking into account the level of exposure of the most exposed**  
197 **individuals who warrant specific attention.**
- 198 • **For the practical implementation of the protection strategy, the Commission**  
199 **recommends a graded approach based on the flight frequency of the**  
200 **individuals.**
- 201 ○ **Most passengers in aircraft are occasional flyers and their exposure**  
202 **to cosmic radiation is considered negligible in the context of their**  
203 **total radiation exposure. However, the Commission recommends**  
204 **that general information about cosmic radiation should be made**  
205 **available to all passengers.**
  - 206 ○ **For frequent flyers for personal reasons and personal duties, in**  
207 **addition to the recommendation to provide general information, the**  
208 **Commission encourages the self-assessment of their doses in order, if**  
209 **desired, to adjust their flight frequency.**
  - 210 ○ **For the small fraction of frequent flyers for professional duties of**  
211 **which exposures are comparable to those of aircraft crew, the**  
212 **Commission recommends that the requirements for aircraft crew**  
213 **could be utilised on a case-by-case basis through interactions**  
214 **between the individual and their organisation, according to the**  
215 **prevailing circumstances.**
  - 216 ○ **For aircraft crew, the Commission recommends that the operating**  
217 **management:**

- 218                   **(i) Individually inform the aircraft crew about cosmic radiation**  
219                   **through an educational programme;**
- 220                   **(ii) Assess the dose of aircraft crew using dedicated calculation**  
221                   **programmes;**
- 222                   **(iii) Record the individual and cumulative dose of aircraft crew.**  
223                   **These data should be made available to the individuals and kept**  
224                   **for sufficient time; and**
- 225                   **(iv) When appropriate, to respect the selected dose reference level**  
226                   **and after consultation with the concerned aircraft crew,**  
227                   **operating management may adjust the flight schedule.**
- 228     • **Pregnant frequent flyers for personal reasons or professional duties may**  
229       **adjust their flight frequency to limit the cosmic radiation exposure to their**  
230       **embryo/fetus. For pregnant aircraft crew, airline management should have**  
231       **provisions in place to adjust duties during the remainder of the pregnancy**  
232       **after its notification.**
- 233     • **The Commission also recommends that national agencies or airline**  
234       **companies disseminate information to raise awareness about cosmic**  
235       **radiation and support informed decisions among all concerned stakeholders,**  
236       **and foster a radiological protection culture for occupationally exposed**  
237       **individuals.**
- 238
- 239

240

**GLOSSARY**

241

## 242 Categories of exposure

243 The Commission distinguishes between three categories of radiation  
244 exposure: occupational, public, and medical exposures of patients.

## 245 Cosmic radiation

246 *Cosmic radiation* is the ionising radiation consisting of high-energy particles,  
247 primarily atomic nuclei, of extra-terrestrial origin, and the particles generated  
248 by interaction with the atmosphere and other matter.

249 *Primary cosmic radiation* is cosmic radiation incident from space and the  
250 Sun at the Earth's orbit.

251 *Secondary cosmic radiation* comprises particles that are created directly or in  
252 a cascade of reactions by primary cosmic radiation interacting with the  
253 atmosphere or other matter. Important particles with respect to radiological  
254 protection and radiation measurements in aircraft are: neutrons, protons,  
255 photons, electrons, positrons, muons, and to a lesser extent, pions and nuclear  
256 ions heavier than protons.

257 *Galactic cosmic radiation* (GCR) is cosmic radiation originating outside the  
258 solar system.

259 *Solar cosmic radiation* (SCR) is cosmic radiation from the Sun.

## 260 Detriment

261 Detriment reflects the total harm to health experienced by an exposed group  
262 and its descendants as a result of the group's exposure to a radiation source.  
263 It is a multi-dimensional concept. Its principal components are the stochastic  
264 quantities: probability of attributable fatal cancer, weighted probability of  
265 attributable non-fatal cancer, weighted probability of severe heritable effects,  
266 and length of life lost if the harm occurs.

## 267 Embryo

268 The human product of conception up to approximately the end of the second  
269 month of pregnancy.

## 270 Emergency exposure situation

271 Emergency exposure situations are exposure situations resulting from a loss  
272 of control of a planned source, or from any unexpected event involving an  
273 uncontrolled source (e.g. a malevolent event). These situations require urgent  
274 and timely actions in order to avoid exposure to occur or to mitigate it.

## 275 Employer

276 An organisation, corporation, partnership, firm, association, trust, estate,  
277 public or private institution, group, political or administrative entity, or other  
278 persons designated in accordance with national legislation, with recognised  
279 responsibility, commitment, and duties towards a worker in her or his  
280 employment by virtue of a mutually agreed relationship.

## 281 Existing exposure situations

282 Existing exposure situations are exposure situations resulting from sources  
283 that already exist when a decision to control the resulting exposure is taken.  
284 This includes natural sources [cosmic radiation, radon and other naturally  
285 occurring radioactive material (NORM)] and man-made sources (long-term  
286 exposure from past practices, accident or radiological events).  
287 Characterisation of exposures is a prerequisite to their control.

#### 288 Exposure situation

289 A situation where a natural or man-made radiation source is transferred  
290 through various pathways, and the radiation results in exposure of human or  
291 biota.

#### 292 Exposure pathway

293 A route by which radiation or radionuclides can reach humans and cause  
294 exposure.

#### 295 Fetus

296 The prenatal development stage of a mammal in the later stages of  
297 development, when it shows all the main recognisable features of the mature  
298 animal, especially a human fetus from the end of the second month of  
299 pregnancy until birth.

#### 300 Fluence

301 Fluence is the number of particles incident on a sphere of cross-sectional area  
302 (e.g. a number of protons per cm<sup>-2</sup>).

#### 303 Frequent flyer

304 A person who regularly travels by aircraft, for personal reasons or for  
305 professional duties and who might be registered in a frequent flyer  
306 programme. Some frequent flyers may fly at a frequency that is of the order  
307 of magnitude of a typical aircraft crew (e.g. 500 h a year).

#### 308 Graded approach

309 A graded approach aims to ensure that the recommendations or requisites  
310 formulated for a group of individuals are commensurate and proportionate  
311 with their level of exposure, considering also the prevailing circumstances.

#### 312 Justification

313 The process of determining whether: (1) a planned activity involving  
314 radiation is overall beneficial [i.e. whether the benefits to individuals and to  
315 society from introducing or continuing the activity outweigh the harm  
316 (including radiation detriment) resulting from the activity]; or (2) the  
317 decision to control exposure in an emergency or an existing exposure  
318 situation is likely overall to be beneficial (i.e. whether the benefits to  
319 individuals and to society (including the reduction in radiation detriment)  
320 outweigh its cost and any harm or damage it causes).

#### 321 Occasional flyer

322 A person who travels by air from time to time, generally for personal  
323 purposes or professional duties.

324 Occupational exposure

325 This refers to all exposure of workers incurred as a result of their work;  
326 however, because of the ubiquity of radiation, the Commission limits its use  
327 of ‘occupational exposure’ to radiation exposures incurred at work as a result  
328 of situations that can reasonably be regarded as being the responsibility of  
329 the operating management.

330 Operating management

331 The person or group of persons that directs, controls, and assesses an  
332 organisation at the highest level. Many different terms are used, including,  
333 e.g. chief executive officer, director general, managing director, and  
334 executive group.

335 Optimisation of protection

336 The principle of optimisation of radiological protection is a source-related  
337 process aiming to keep the magnitude of individual doses, the number of  
338 people exposed and the likelihood of potential exposure as low as reasonably  
339 achievable (ALARA) below the appropriate dose criteria (constraints or  
340 reference level), economic and societal factors being taken into account.

341 Planned exposure situations

342 Planned exposure situations are exposure situations resulting from the  
343 deliberately introduction and operation of sources. Exposures can be  
344 anticipated and fully controlled.

345 Principles of protection

346 The three basic principles that structure the system of radiological protection:  
347 the principle of justification and the principle of optimisation of protection  
348 that apply to all controllable exposure situations, and the principle of  
349 application of dose limit that applies only to the planned exposure situations.

350 Protection action

351 Action set to protect people from the harm of radiation. Protection actions  
352 are generally those that influence the distance to the source, time of exposure,  
353 or the shielding.

354 Reference level

355 In emergency and existing exposure situations, this represents the level of  
356 dose or risk, above which it is judged to be inappropriate to plan to allow  
357 exposures to occur, and below which optimisation of protection should be  
358 implemented. The chosen value for a reference level will depend upon the  
359 prevailing circumstances of the exposures under consideration.

360 Risk

361 Risk relates to the probability that an outcome (e.g. cancer) will occur.  
362 Terms relating to risk are grouped together here:

363 *Excess relative risk* (ERR) is the difference between a risk factor and a  
364 specific outcome. For example, ERR could be the difference between the

365 proportion of subjects in a population with a particular disease who were  
366 exposed to a specified risk factor and the proportion of subjects with that  
367 same disease who were not exposed.

368 *Relative risk* is the ratio of the incidence rate or the mortality rate from the  
369 disease of interest (e.g. cancer) in an exposed population compared to the  
370 same ratio in an unexposed population.

371 Solar particle event or solar proton event (SPE)

372 An unusually large fluence of energetic solar particles ejected into space by a  
373 solar eruption.

374 Sun's solar wind

375 The solar wind is a plasma of electrons and protons that boils off the solar  
376 corona and propagates – due to the Sun's magnetic field – radially from the  
377 Sun at a velocity on average of  $400 \text{ km.s}^{-1}$ . The solar wind carries with it a  
378 relatively strong and convoluted magnetic field. The Sun's solar wind is  
379 responsible for the aurora in the Arctic (*aurora borealis*) and the Antarctic  
380 (*aurora australis*).

381

## 1. INTRODUCTION

382 (1) Reaching one's seat in an aircraft can sometimes be a long journey. After  
383 check-in and police control, one has to undergo airport security control. Radiation  
384 may play a role in this process, being used to screen carry-on luggage, and in some  
385 cases, screen individuals themselves. The Commission has recently published  
386 recommendations on radiological protection on security screening (ICRP, 2014).

387 (2) After take-off, as the aircraft climbs to cruising altitude, exposure to cosmic  
388 radiation increases. At typical cruise altitude ( $>10,000$  metres), the dose rate can  
389 reach  $7 \mu\text{Sv}\cdot\text{h}^{-1}$  (more than 150 times the cosmic radiation exposure at sea level).  
390 The future use of new ultra long-range jets that fly at higher altitudes, and for longer  
391 durations, is estimated to increase the dose by 30–50% compared to current flight  
392 practices.

393 (3) So far, the Commission has developed a set of recommendations regarding  
394 specifically the radiological protection of aircraft crew and notably pregnant aircraft  
395 crew (ICRP, 1984, 1991). The report intends to review these recommendations but  
396 also consider the exposure of passengers and notably the exposure of the so-called  
397 frequent flyers travelling for personal reasons or as part of their job.

398

### 1.1. Background

399 (4) The Commission first mentioned exposure resulting from flying at high  
400 altitude in *Publication 9* (ICRP, 1965). The Commission also noted in paragraph 88  
401 of *Publication 26* that “*flight at high altitude*” can increase the normal exposure to  
402 natural radiation (ICRP, 1977). In paragraph 10 of *Publication 39*, “*flying in the*  
403 *present manner*” was presented as an example of an existing exposure situation  
404 (ICRP, 1984).

405 (5) The Commission then published its first recommendations on protection  
406 against cosmic radiation exposure in *Publication 60* (ICRP, 1991). The Commission  
407 recommended that the personnel involved in the operation of commercial jet aircraft  
408 should be treated as occupationally exposed. As doses are not likely to exceed a pre-  
409 defined value because of the limitations of flight duration, individual monitoring  
410 using dosimeters was not considered necessary. Furthermore, the Commission  
411 pointed out that attention should also be paid to groups such as frequent flyers and  
412 couriers who fly more often than other passengers. There was no mention of  
413 protection of the remaining passengers.

414 (6) The Commission subsequently clarified its recommendation in *Publication*  
415 *75* (ICRP, 1997), indicating that because a business traveller could only receive an  
416 annual effective dose in the range of 1 mSv (considering about 200 h of flying at  
417 about  $5\text{--}6 \mu\text{Sv}\cdot\text{h}^{-1}$ ), the Commission considered that the only group occupationally  
418 exposed to elevated levels of cosmic radiation was aircraft crew. The Commission  
419 also reiterated that there is no need to consider that the use of designated areas in  
420 aircraft and the annual effective doses to aircraft crew should be derived from the  
421 flying time and typical effective dose rates for the relevant routes, and the control of  
422 exposure mainly ensured by restrictions on the flying time and route selection.  
423 Recently, the International Commission on Radiation Units and Measurements  
424 (ICRU) jointly with ICRP published reference and data for the validation of doses  
425 from cosmic radiation to aircraft crew to facilitate international harmonization of  
426 dose assessments for aircraft crew by airlines and their regulators (ICRU, 2010).

427 (7) The report supersedes the previous ICRP recommendations in this area.

428 **1.2. Scope**

429 (8) The Commission has recently published recommendations on controlling  
430 exposure from cosmic radiation in space in *Publication 123* (ICRP, 2013). The  
431 purpose of the present report is to update and clarify the recommendations of the  
432 Commission on controlling exposure from cosmic radiation in aviation. This report  
433 takes into account the evolution of the general recommendation in *Publication 103*  
434 (ICRP, 2007) for the protection of aircraft crew. The report is intended to enlarge the  
435 scope of discussion beyond aircraft crew by considering the exposure of passengers,  
436 notably frequent flyers for personal reasons or professional duties. Given the high  
437 proportion of female cabin crew, the report also addresses the topic of the exposure  
438 of pregnant women.

439 **1.3. Structure of the report**

440 (9) Chapter 2 presents the characteristics of exposure in aviation from cosmic  
441 radiation. It provides a brief description of the source and pathways of exposure as  
442 well as an insight on solar eruptions, routine assessment of levels of exposure and  
443 individual and collective dose data. Chapter 3 describes the Commission's system of  
444 radiological protection in the context of cosmic radiation exposure in aviation,  
445 including the type of exposure situation, the category of exposure concerned and the  
446 basic principles to be applied. Chapter 4 provides guidance on the implementation of  
447 the system of radiological protection using a graded approach for the various  
448 exposed individuals: occasional flyers, frequent flyers and aircraft crew. A section  
449 addresses the particular situation of the exposure of pregnant passengers and aircraft  
450 crew.

451 **2. CHARACTERISTICS OF EXPOSURE FROM COSMIC RADIATION IN**  
452 **AVIATION**

453 **2.1. Historical background**

454 (10) In September 1859, R.C. Carrington, an English amateur astronomer,  
455 observed a solar eruption with a major mass ejection that travelled towards the Earth.  
456 Telegraph systems failed all over Europe and America, and auroras filled the sky as  
457 far south as the Caribbean. Today, it is known that solar particle or proton events  
458 (SPEs), such as this 1859 event, release relatively high-energy particles.

459 (11) In 1912, V. Hess took a historic balloon ride with three ionisation chambers  
460 to an altitude of 5,300 metres. He found higher levels of radiation as he rose that he  
461 attributed to ionising radiation: four times that on the ground at the flight peak. Hess  
462 ruled out the Sun as the source of radiation by making several balloon ascents at  
463 night and one during a total eclipse. He concluded "the results of my observation are  
464 best explained by the assumption that a radiation of very great penetrating power  
465 enters our atmosphere from above" (*Physikalische Zeitschrift*, November 1912).

466 (12) In 1925, R.A. Millikan proved the extra-terrestrial origin of these  
467 radiations and introduced the terms "cosmic rays" and "cosmic radiations". In the  
468 same year, A. Compton had the idea that cosmic rays were primarily charged  
469 particles.

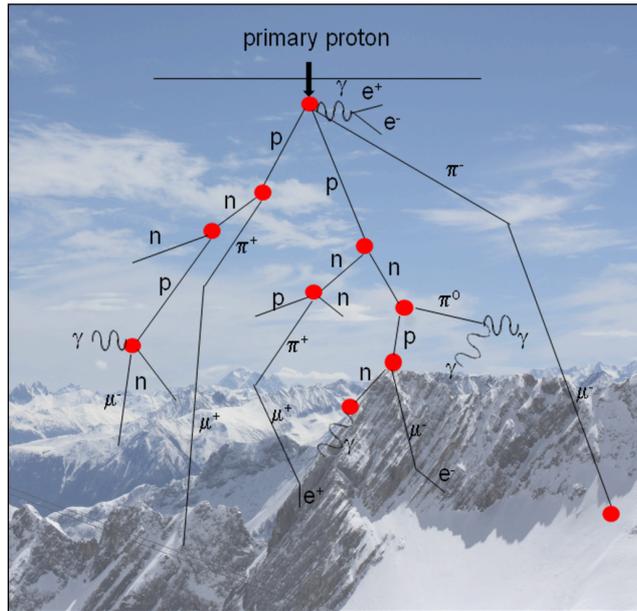
470 (13) Commercial supersonic planes were developed during the 1960's: the  
471 Tupolev-144 prototype first flight in 1968 and the Concord prototype in 1969. The  
472 high altitude at which supersonic planes cruised (around 19,000 meters) increased  
473 concerns on the exposure of aircraft crew and passengers to cosmic radiation. To  
474 ensure the monitoring of doses, some aircraft crew carried personal dosimeters and a  
475 radiometer was installed in the Concord. A special dosimeter was also developed for  
476 the Tupolev-144's aircraft crew. In the case of a significant increase in radiation  
477 level (e.g. 300  $\mu\text{Sv/h}$  in Tupolev 144), the plane would descend to lower altitude.  
478 This marked the beginning of the routine monitoring of exposure to cosmic radiation  
479 in aircraft. Nowadays, the dose from cosmic radiation in aviation is monitored using  
480 computer codes.

481 **2.2. Source and pathways**

482 (14) The Earth is exposed continuously to high-energy particles that come from  
483 outside the solar system – galactic cosmic radiation (GCR) – and from the Sun –  
484 solar cosmic radiation (SCR). In addition, the Earth is exposed occasionally to bursts  
485 of energetic particles from the Sun (SPEs). GCR is mostly protons (85 %) with an  
486 energy fluence distribution that extends from  $10^6$  electron volts (eV) to more than  
487  $10^{20}$  eV. These high-energy particles are a particular characteristic of cosmic  
488 radiation, and contribute greatly to the dose. Protons with energies generally below  
489  $10^6$  eV constitute 99% of SCR. GCR and SCR are commonly referred to as primary  
490 cosmic radiation (UNSCEAR, 2008; ICRU 2010).

491 (15) GCR interacts with the atomic constituents of the atmosphere, producing a  
492 cascade of interactions and secondary reaction products that contribute to cosmic  
493 radiation exposure (Fig. 1). These decrease in intensity with depth in the atmosphere,  
494 from aircraft altitudes to ground level. The decrease is almost linear between 16 and  
495 8 kilometres of altitude:  $-1.5 \mu\text{Sv h}^{-1} \text{ km}^{-1}$  (EC, 2004).

496



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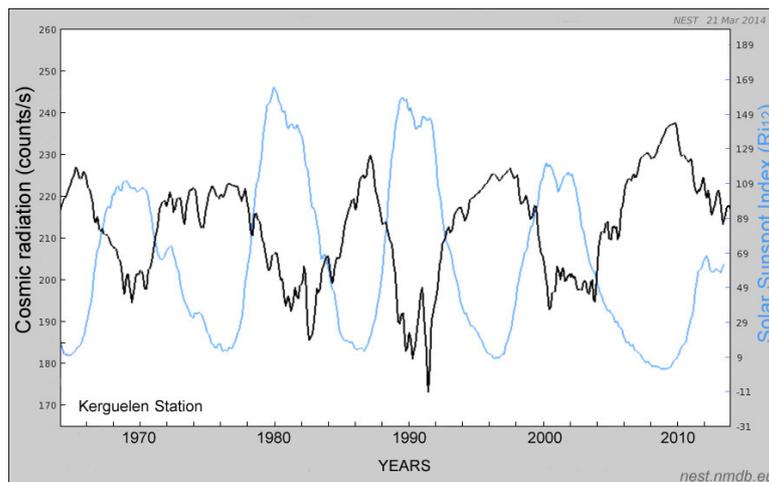
498

499 Fig. 1. Cascade of secondary cosmic radiation,  $\mu$ : muon,  $e^-$ : electron,  $e^+$ : positron,  $\gamma$ : photon,  
500  $n$ : neutron,  $p$ : proton,  $\pi$ : pion (Rühm, 2012).

501

502 (16) Since the particles making up GCR are electrically charged, they can be  
503 affected by the magnetic field of the Sun's solar wind – the plasma of protons and  
504 electrons from the solar corona that generate a magnetic field throughout the solar  
505 system. The magnetic fields deflect the low-energy GCR that would otherwise enter  
506 the Earth's atmosphere. The solar wind varies with the Sun's 11-year solar cycle,  
507 and causes variations in the magnetic field. Close to the Earth's orbit, GCR is at a  
508 maximum during solar minimum activity, and GCR is at a minimum when the Sun's  
509 activity is greatest with sunspots, flares and coronal mass ejections (Fig. 2).

510



511

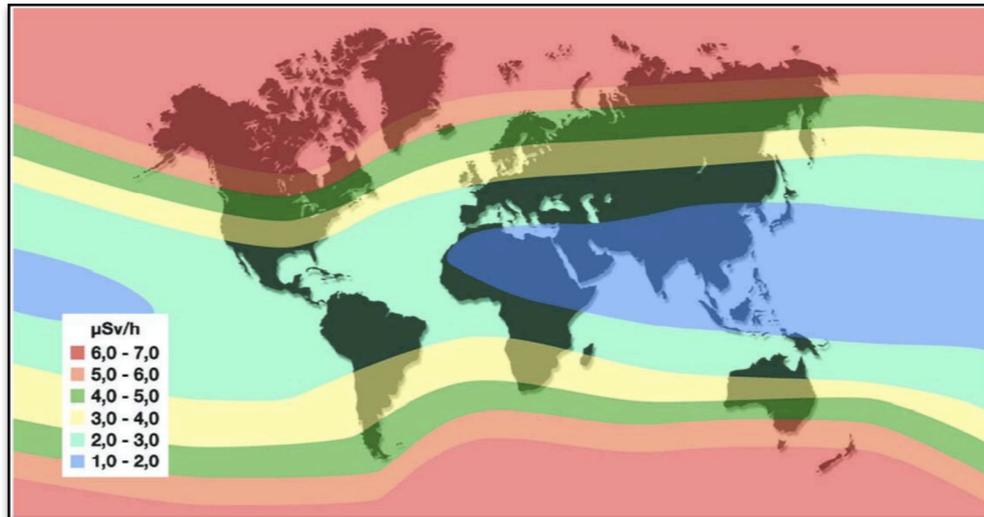
512

513 Fig. 2. The anti-correlation between the activity of the Sun (expressed as the number of  
514 sunspots– blue curve) and the cosmic radiation exposure (expressed as the monthly average  
515 neutron counts– black curve) from 1964 to 2014 (Paris-Meudon Observatory data).

516

517 (17) Paths of cosmic radiation particles are also bent as they cross the magnetic  
518 field of the Earth, which acts as a partial shield against charged particles. Near the

519 equator, where the geomagnetic field is nearly parallel to the ground, fewer particles  
 520 reach lower altitudes: the magnetic shielding effect is greater. Near the magnetic  
 521 poles, where the geomagnetic field is nearly vertical to the ground, the maximum  
 522 number of primary cosmic radiation particles can reach the atmosphere and generate  
 523 secondary radiation that penetrates to aviation altitudes. Thus, rates of cosmic  
 524 radiation exposure are higher in polar regions, and lower near the equator (Fig. 3).  
 525



526  
 527 Fig. 3. Geomagnetic shielding of cosmic radiation: Ambient dose rate by latitude and  
 528 longitude at 11 km altitude in December 2002 (Frasch, 2012).

529  
 530 (18) In summary, the cosmic radiation field in aircraft is modulated by altitude,  
 531 geomagnetic latitude, and solar cycle. At normal aircraft altitudes and at the equator,  
 532 the electrons/positrons and neutrons are the larger components in dose, followed by  
 533 protons. In contrast, at higher latitudes, the dose comes mostly from neutrons (Table  
 534 1). Additionally, at higher altitudes, nuclei heavier than protons (e.g. Fe) start to  
 535 contribute.

536  
 537 Table1. Contributions to cosmic radiation by its ambient dose equivalent component  
 538 according to latitude (at the altitude of 12,000 metres and at solar minimum) (EC, 2004).

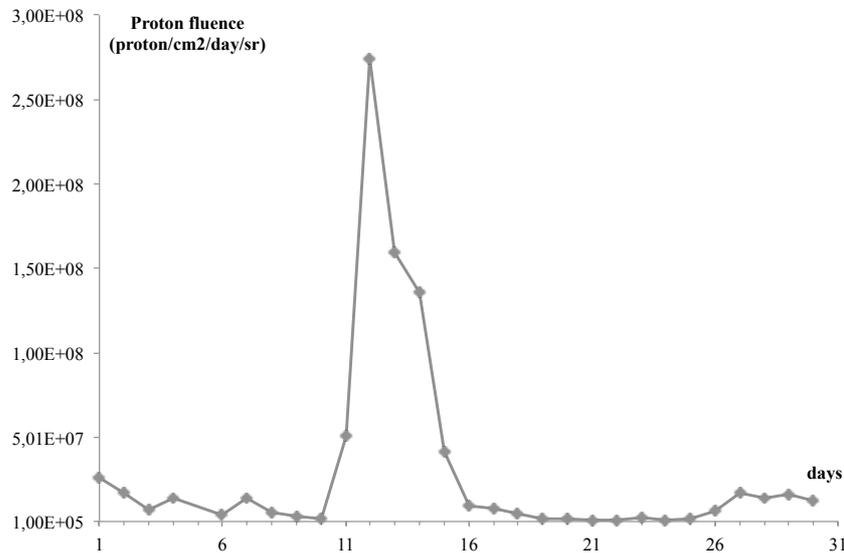
Component	Equator	High latitude
Muons	5 %	3 %
Electrons/positrons	38 %	14 %
Neutrons *	37 %	64 %
Protons	12 %	14 %
Photons	8 %	5 %

539 \* The radiation weighting factors for neutrons used in the computation of dose vary as a  
 540 continuous function of neutron energy (ICRP, 2007).

541 **2.3. Solar eruptions**

542 (19) Exceptional high levels of radiation can occur from SPEs. The mean energy  
 543 of the particles of SPEs – mainly protons – is generally below 100 MeV. Neutrons  
 544 and  $\gamma$  rays may also be associated with these events (Bramlitt, 2014). Only a small  
 545 number of SPEs, about one per year, have significantly higher proton energies and

546 can be observed by neutron monitors on the ground. These SPEs can cause increases  
 547 in dose rates at aviation altitudes. Fig. 4 gives the daily proton fluence observed by a  
 548 satellite in April 1989; the solar eruption of the 10 April is easily observable.  
 549



550  
 551 Figure 4. Daily proton fluence between 1 and 30 April 1989 (data from GOES-7 satellite,  
 552 National Atmospheric and Astronautics Administration).  
 553

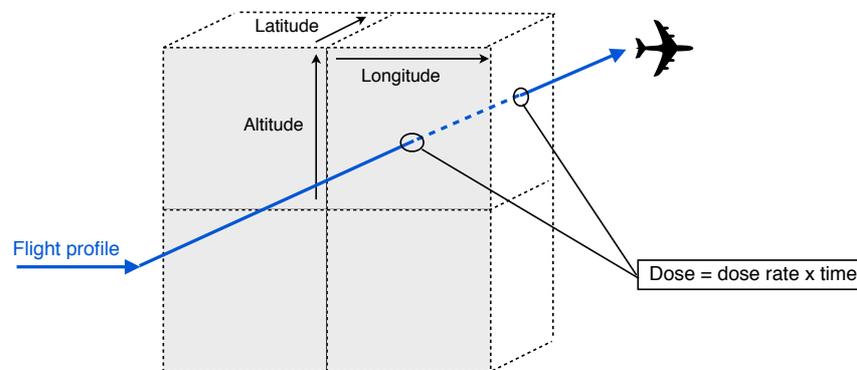
554 (20) At present, it is almost impossible to estimate in advance the dose of an  
 555 SPE with any precision. The calculation of the doses to aircraft crew for the elevated  
 556 effective dose rates in the event of an SPE is usually made retrospectively using  
 557 results from ground-level neutron monitors, or in exceptional cases, with on-board  
 558 measurements. The calculated dose rate can be quite substantial, but is characterised  
 559 with associated large uncertainties of the order of factor 5 or more according to  
 560 results obtained by EURADOS Working Group 11 (EC, 2004). According to Lantos  
 561 and Fuller (2003), 64 SPEs were observed from 1942, and only 18 of them were  
 562 associated with a significant likelihood of an increase in the effective dose rate of  
 563 aircraft crew of more than 30  $\mu\text{Sv}\cdot\text{h}^{-1}$  at 12,000 metres (like the SPE in April 1989)  
 564 and only 4 by more than 1  $\text{mSv}\cdot\text{h}^{-1}$  at 12,000 metres. Given their low frequency and  
 565 the level of individual dose involved, the contribution of SPEs to the total lifetime  
 566 exposure of aircraft crew to cosmic radiation is therefore marginal.

567 (21) The Commission is also aware that some concerns have recently been  
 568 raised about the potential exposure of aircraft crew and passengers to flashes of  $\gamma$   
 569 rays produced in the atmosphere on the occasion of thunderstorms. This  
 570 phenomenon, which is not related to cosmic radiation, was first observed by the  
 571 National Aeronautics and Space Administration in 1991. These flashes named  
 572 “Terrestrial Gamma Flashes” (TGF) appear to occur at flight altitude and last a few  
 573 milliseconds with energy up to 20 MeV. The details of their mechanism of  
 574 production are uncertain, but the  $\gamma$  rays are presumably produced by electrons  
 575 accelerated by lightning and travelling close to the speed of light and colliding with  
 576 atoms in the atmosphere (Dwyer, 2012). There is currently no element to assess the  
 577 potential exposure of aircraft crew and passengers associated with TGF. It should  
 578 also be noted that pilots systematically avoid thunderstorms for reasons of flight  
 579 safety.

580

## 2.4. Assessment of individual exposure in aircraft

581 (22) Individual exposure in aircraft can be estimated relatively easily with  
 582 computer programmes. Indeed, the cosmic radiation field in an airplane is to a large  
 583 extent uniform: for a given flight, the exposure of different individuals is similar  
 584 (Battistoni et al., 2005). For most of the computer programmes, the atmosphere is  
 585 divided into cubes through which the aircraft flies; the mean effective dose rate in a  
 586 cube depends on altitude, geomagnetic latitude and solar modulation. The dose  
 587 when crossing a cube is the product of the dose rate with the time needed by the  
 588 plane to cross the cube (Fig. 5), which depends on the standard flight profile. The  
 589 standard flight profile between two airports can differ from the actual flight profile,  
 590 mainly because of weather conditions, but the impact on the dose is not considered  
 591 significant (Van Dijk, 2003).  
 592



593  
 594

595 Fig. 5. Typical mode of calculation of dose from cosmic radiation used by computer codes  
 596 (Bottollier Depois, 2007).  
 597

598 (23) Computer codes that evaluate dose rates in airplanes can be validated and  
 599 consolidated by measurements of ambient dose rates in the aircraft. For example, in  
 600 Germany, two passenger aircrafts were equipped with ambient dose rate meters for a  
 601 period of 4 years in order to validate the calculation programmes used for official  
 602 dose calculation (Frasch, 2014). Details of the determination of ambient dose rate  
 603 are discussed in various consensus standards, for example in European Commission  
 604 (EC, 2004) and the International Organization for Standardization (ISO) standards  
 605 ISO 20785 parts 1 to 3 (ISO, 2012).

606 (24) The EC has published a compilation of measured and calculated ambient  
 607 dose equivalent rates covering the time period from 1993 to 2003 (EC, 2004). These  
 608 data are the major basis for the analysis leading to the specification of reference  
 609 values of ambient dose equivalent given in a joint ICRP and ICRU Report (ICRU,  
 610 2010). These reference values can be used to check the conformity of the routine  
 611 procedure for the assessment of aircraft crew doses.

612 (25) Monitoring of occupationally exposed individuals in aircraft has been  
 613 recommended by ICRP in various previous publications (ICRP, 1997, 2007).  
 614 Because individual doses can be properly estimated retrospectively, the Commission  
 615 continues to recommend the use of validated computer codes, instead of using  
 616 measurement devices (dosimeters and other instruments) to monitor individual  
 617 exposure in aviation.

618 (26) As an example, the effective doses for three flight routes estimated with the  
 619 software SIEVERT<sup>1</sup> (based on the EPCARD code, Mares, 2009; Schraube 2002–  
 620 and available at <http://www.sievert-system.org>) can be found in Table 2. One can  
 621 notice that the value of the dose rate for the trans-equatorial route is the lowest.  
 622 Other examples of doses can be found in Appendix A.

623

624 Table 2. Example of effective dose calculated for different flight routes (for flights during  
 625 15 March 2013).

Type of flight	Total effective dose ( $\mu\text{Sv}$ )	Dose rate ( $\mu\text{Sv/h}$ )
Transatlantic flight: Paris – New York	60	6.8
Trans-equatorial flight: Colombo – Jakarta	9.7	2
Transpolar flight: Beijing – Chicago	82	6.8

626

627

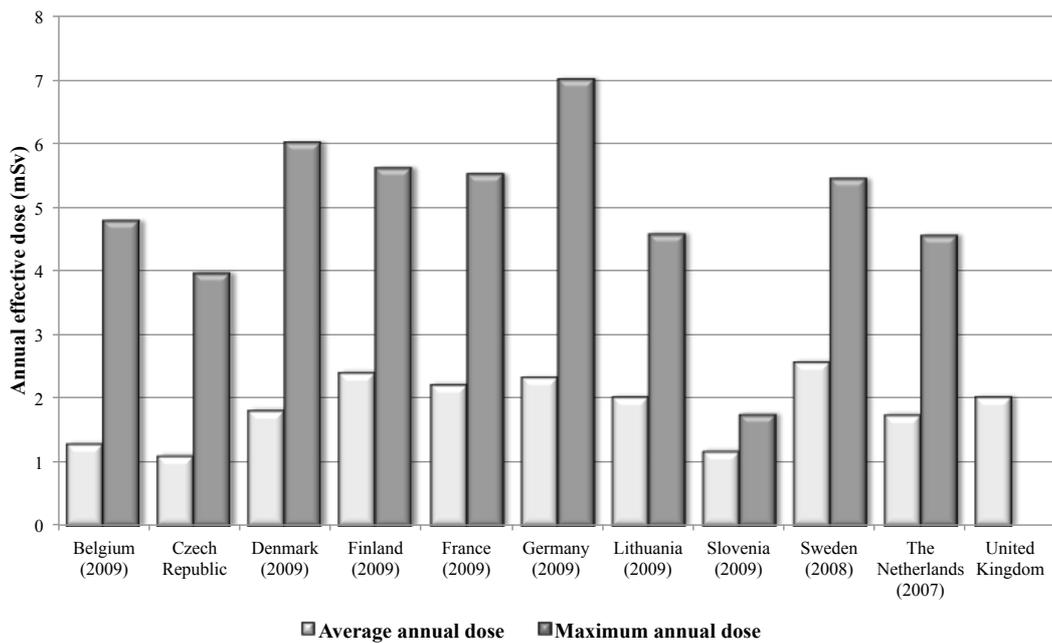
## 2.5. Exposure of aircraft crew

628 (27) Data presented in the United Nations Scientific Committee on the Effects  
 629 of Atomic Radiation (UNSCEAR) 2008 report (UNSCEAR, 2008) indicate that the  
 630 range of average annual effective dose for aircraft crew is of the order of a few mSv  
 631 (from 1.2 to 5 depending on country) with a maximum value of about 6-7 mSv. The  
 632 average annual effective dose is highly dependent of the average annual flight time:  
 633 of the order of 600 h in European countries and 900 h in the USA.

634 (28) A review of the exposure of aircraft crew in Europe (Andresz, 2015)  
 635 indicates that the average annual effective dose varies from 1 mSv for the airline of  
 636 the Czech Republic to 2.5 mSv for airlines from both Finland and Sweden. The  
 637 highest maximum annual effective dose is about 6-7 mSv for airlines from Denmark,  
 638 Germany and Finland (Fig. 6). Apart from exceptional circumstances, aircraft crew  
 639 receive exposures of less than 10 mSv per year.

640

<sup>1</sup>Reference to a particular software programme is for illustrative purposes, and does not constitute an ICRP endorsement of this, or any similar codes.



641  
642

643 Fig. 6. Average and maximum annual effective dose for crew members in European  
644 countries (EAN, 2012).

645

646 (29) Aircraft crew exposure is also an important component of annual collective  
647 effective dose. According to UNSCEAR (2008), the total collective annual effective  
648 dose of aircraft crew in the world is of the order of 800 man Sv. The collective  
649 effective dose per country is largely dependent on the size of the national airline  
650 companies and the annual flying time. The collective effective dose can reach more  
651 than 50 man Sv per year for certain countries (for example, 78.5 man Sv for  
652 Germany in 2012). Such collective doses are by far the main contributor to the  
653 collective occupational exposure. Table 3 represents the occupational collective  
654 exposure for aircraft crew in some countries.

655

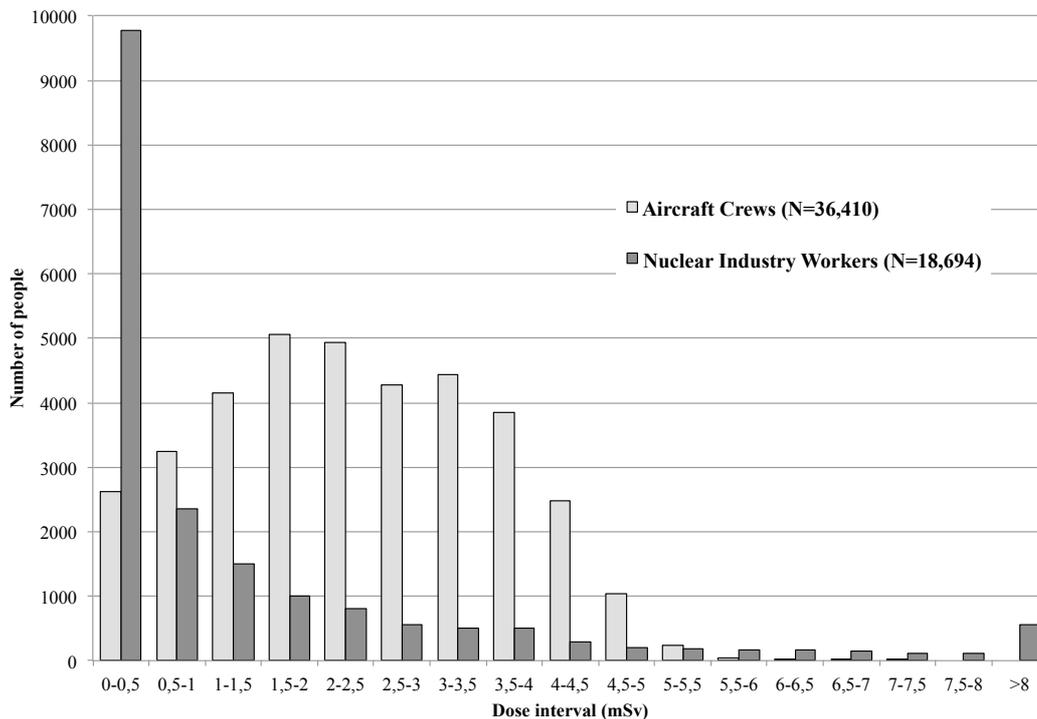
656 Table 3. Collective dose for aircraft crew (UNSCEAR, 2008)

Country	Monitored individuals	Collective dose (man Sv)
Denmark	3,990	6.8
Finland	2,520	4.2
Germany	31,000	60.0
Lithuania	160	0.2
The Netherland	12,500	17.0
United Kingdom	40,000	80.0
United States of America	150,000	<i>Not available</i>

657

658 (30) The distribution of doses received by aircraft crew results from the  
659 combination of two Gaussian distributions: one for cockpit crew and the other for  
660 cabin crew (Fig. 7). Such exposure profiles are typical of a population that has a  
661 relatively uniform exposure at levels sufficiently low that has not warranted the  
662 application of controls. By comparison, the underlying exposure conditions in the  
663 nuclear industry are typically much more variable than those in aviation. This fact  
664 and the application of the optimisation of protection typically result in a much more  
665 skewed distribution of doses (e.g. approaching a lognormal distribution).

666



667  
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Fig. 7. Distribution of dose for aircraft crew and nuclear industry workers in Germany in 2009 (Frasch, 2012).

670

## 2.6. Epidemiological studies of aircraft crew

671 (31) Epidemiological studies of aircraft crew have been conducted over the last  
672 20 years (for example, as reviewed in Zeeb, 2012). The early studies were  
673 investigations of pilots from Canada, the United Kingdom and Japan. With regards  
674 to cancer, pilots, almost completely composed of males, showed reduced cancer  
675 mortality when compared with the general population – this reduction is often  
676 observed in occupational cohorts as a healthy worker effect. But, certain specific  
677 types of cancers, namely melanoma and brain cancer, seem elevated in the aircraft  
678 crew population (Zeeb, 2012).

679 (32) A second generation of investigations in the 1990s included a larger set of  
680 European and American studies. As was observed previously, cancer mortality of  
681 pilots was lower than that of the general population and some cancers (melanoma  
682 and brain cancer) showed “*a very moderate excess risk*”. A study also showed a  
683 slightly increased risk of cataracts for female cabin crew (80 % of the cabin crew,  
684 essentially represented by women before pregnancy) and “*a very moderate elevation*”  
685 of breast cancer mortality compared with the general population (Rafnsson, 2005).

686 (33) UNSCEAR (2006) stated that evidence has been found for consistent  
687 excess risk of melanoma, non-melanoma skin cancer and breast cancer. But, no  
688 relation with the duration of employment was found, and without the information on  
689 individual radiation dose, it is difficult to correlate the observed excess risks to  
690 ionising radiation, or to solar ultraviolet light (UV) exposure. A recent paper shows  
691 that breast cancer incidence is not associated with cosmic radiation exposure, which  
692 might be explained by lower parity and older age at first birth (Schubauer-Berigan,  
693 2015).

694 (34) A study on the mortality of commercial aircraft crew members followed  
695 94,000 Europeans and Americans for an average of 22 years (Hammer et al., 2014).  
696 This study showed an overall reduction of cancer and cardiovascular mortality  
697 compared to the general population. An elevated mortality from skin melanoma was  
698 observed for cockpit crew, but apparently not directly related to occupational  
699 exposure and attributable to light skin and sunbathing. Contrary to other studies, no  
700 elevation of breast cancer for female aircraft crew was found, but an increased  
701 mortality from prostate cancer in male aircraft crew was observed. Generally, the  
702 mortality from radiation-related cancers was lower than expected for aircraft crew.  
703 The authors recommend further analysis as aircraft crew are exposed to a number of  
704 potential risk factors besides ionising radiation: stress, disruption of the circadian  
705 rhythm, exposure to jet fuel, etc.

706 (35) In conclusion, the available epidemiological data show no clear relation  
707 between the duration of work of aircraft crew and their corresponding doses from  
708 cosmic radiation, with an excess risk of radiation-related cancers.  
709

710 **3. THE COMMISSION'S SYSTEM OF PROTECTION FOR PASSENGERS**  
711 **AND AIRCRAFT CREW**

712 (36) The Commission's system of radiological protection of humans is  
713 described in *Publication 103*<sup>2</sup> (ICRP, 2007). According to its paragraph 44, it  
714 "applies to all radiation exposures from any source, regardless of its size and  
715 origin." In particular, according to paragraph 45, the Commission's  
716 Recommendations cover exposures to both natural and man-made sources.

717 (37) The philosophy of *Publication 103* is to recommend a consistent approach  
718 for all type of exposure situations, with the central consideration being optimisation  
719 process below appropriate dose restrictions.

720 **3.1. Type of exposure situations and categories of exposure**

721 **3.1.1. Type of exposure situations**

722 (38) The Commission defines an exposure situation as a network that begins  
723 with a natural or man-made radiation source, the transfer of the radiation or  
724 radioactive materials through various pathways, and the resulting exposure of  
725 individuals (paragraph 169 of *Publication 103*). Protection can be achieved by  
726 taking action at the source, or at points in the exposure pathways, and occasionally  
727 by modifying the location, the time of exposure and the protection of the exposed  
728 individuals. For convenience, the environmental pathway is usually taken to include  
729 the link between the source of exposure and the individuals receiving doses.

730 (39) According to paragraph 176 of *Publication 103* (ICRP, 2007), the  
731 Commission intends its Recommendations to be applied to all controllable sources  
732 in the following three types of exposure situations, which address all conceivable  
733 circumstances:

- 734 • *Existing exposure situations* are exposure situations resulting from sources  
735 that already exist when a decision to control the resulting exposure is taken.  
736 Characterisation of exposures is a prerequisite for their control;
- 737 • *Planned exposure situations* are situations resulting from the deliberate  
738 introduction and operation of sources. Exposures can be anticipated and  
739 fully controlled; and
- 740 • *Emergency exposure situations* are situations that may occur during the  
741 operation of a planned situation in case of loss of control of the source, or  
742 from any unexpected event involving an uncontrolled source. Urgent  
743 action is necessary in order to avoid or reduce undesirable exposures.

744 (40) The Commission views human exposures in aviation resulting from cosmic  
745 radiation as an existing exposure situation. The source already exists, and any  
746 protection decisions are made in that context to control the exposure. The pathway  
747 from the radiation source is outer space, the atmosphere and the aircraft structure,  
748 and the exposed individuals are the aircraft crew and passengers. Action to control  
749 exposures can only be implemented by changing the exposure conditions of the  
750 exposed individuals. The Commission considers that SPEs, even major ones, are  
751 part of existing exposure situations given their infrequent presence in the flight

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<sup>2</sup>At the time of the publication of the present report, the Commission was revising the glossary enclosed in the *Publication 103* because of some imperfections and inconsistencies with the text so that the report is referring to the text of *Publication 103* rather than to its glossary.

752 environment, and the resulting contribution to the exposure of aircraft crew and  
753 passengers (see paragraphs 19 and 20).  
754

### 755 **3.1.2. Categories of exposure**

756 (41) The Commission distinguishes between three categories of exposure:  
757 occupational, medical and public exposures. Occupational exposure is radiation  
758 exposure of workers incurred as a result of their work. However, because of the  
759 ubiquity of radiation, the Commission traditionally limits the definition of  
760 'occupational exposures' to radiation exposures incurred at work as a result of  
761 situations that can reasonably be regarded as being the responsibility of the  
762 operating management. Medical exposure is the exposure of patients in the course of  
763 medical diagnosis and treatment. Public exposure encompasses all exposures other  
764 than occupational exposures and medical exposures.

765 (42) In aviation, the exposed population to cosmic radiation includes occasional  
766 flyers, frequent flyers - for personal reasons and for professional duties - and aircraft  
767 crew. The Commission maintains its view that the exposures of occasional and  
768 frequent flyers are public exposure, and the exposure of the particular group of  
769 aircraft crew is occupational exposure (ICRP, 1991, 1997, 2007). However, the  
770 Commission is now proposing a graded approach for the protection of these three  
771 groups, taking into account the level of exposure expected for each of them and the  
772 responsibilities that need to be considered (Section 4.2).

### 773 **3.2. Justification of protection strategies**

774 (43) The principle of justification is one of the two fundamental source related  
775 principles that apply to all exposure situations. The recommendation in *Publication*  
776 *103*, paragraph 203, requires, through the principle of justification, that any decision  
777 that alters the radiation exposure situation should do more good than harm. The  
778 Commission goes on to emphasise that for existing exposure situations, the  
779 justification principle is applied in making the decision as to whether to take action  
780 to reduce exposure and avert further additional ones. Any decision will always have  
781 some disadvantages and should be justified in the sense that it should do more good  
782 than harm. In these circumstances, as stated in paragraph 207 of *Publication 103*, the  
783 principle of justification is applied in aviation in making the decision as to whether  
784 or not to implement a protection strategy against cosmic radiation exposure.

785 (44) After characterising the situation, the responsibility for judging the  
786 justification usually falls on governments or other national authorities to ensure that  
787 an overall benefit results, in the broadest sense to society, and thus not necessarily to  
788 each individual. However, input to the justification decision may include many  
789 aspects that could be informed by users or other organisations or persons outside of  
790 the government or national authority. In this context, radiological protection  
791 considerations will serve as input to the broader decision process.

792 (45) Although possibilities to control exposures in aircraft are limited (Section  
793 4.1), the Commission considers that the implementation of a protection strategy is  
794 justified, especially for aircraft crew, given that this is one of the most  
795 occupationally exposed population both in terms of mean individual and collective  
796 effective doses (Section 2.5).

797

### 3.3. Optimisation of protection

798 (46) When decisions have been made regarding the justification of  
799 implementing a protection strategy, then the optimisation of protection becomes the  
800 driving principle to select the most effective actions for protecting the exposed  
801 individuals.

802 (47) Optimisation is the second source-related principle that applies to all  
803 exposure situations and is central to the system of radiological protection. It is  
804 defined by the Commission as the process to keep the magnitude of individual doses,  
805 the number of people exposed, and the likelihood of incurring exposures, as low as  
806 reasonably achievable (ALARA) below appropriate individual dose criteria, taking  
807 into account economic and societal factors. This means that the level of protection  
808 should be the best under the prevailing circumstances. In order to avoid serious  
809 inequity in the individual dose distribution, the Commission recommends using  
810 individual dose criteria (reference levels and dose constraints) in the optimisation  
811 process (paragraph 226 of *Publication 103*).

#### 812 3.3.1. Reference levels

813 (48) In existing exposure situations, the reference level represents the dose  
814 above which it is judged to be inappropriate to allow exposures to occur, for which  
815 protective actions should therefore be implemented. Reference levels are guides for  
816 selecting protective actions in the optimisation process in order to maintain  
817 individual doses as low as reasonably achievable taking into account economic and  
818 societal factors, and thus prevent and reduce inequities in the dose distribution. As  
819 such, reference levels are also a benchmark against which protective actions can be  
820 judged retrospectively.

821 (49) For existing exposure situations, the Commission recommends setting  
822 reference levels within the 1 to 20 mSv/year band as presented in Table 5 of  
823 *Publication 103*. In this band, the sources or the pathways can generally be  
824 controlled, and individuals receive direct benefits from the activities associated with  
825 the exposure situation, but not necessarily from the exposure itself. In aviation,  
826 passengers receive direct benefits from flying; travelling rapidly with comfort and  
827 security. Like for other situations of occupational exposure to ionising radiation,  
828 aircraft crew receive direct benefit from their employment.

829 (50) For a particular exposure situation, the Commission recommends that the  
830 selection of the value of the reference level should be made based upon the  
831 prevailing circumstances (paragraph 234 of *Publication 103*). This selection should  
832 be made considering the individual dose distribution with the objective to identify  
833 those exposures that warrant specific attention. For the protection against cosmic  
834 radiation in aviation, the Commission recommends that a reference level can  
835 generally be selected in the 5 to 10 mSv/year range.

836 (51) The selected reference value is not a dose limit, but represents the level of  
837 dose below which exposure should be maintained and reduced as low as reasonably  
838 achievable taking into account economic and societal factors. The principle of  
839 application of individual dose limits only applies in planned exposure situations  
840 (paragraph 203 of *Publication 103*). Nevertheless, some regulatory bodies may  
841 decide to introduce occupational dose limits to aircraft crew as a procedure to  
842 impose legally binding values.

#### 843 3.3.2. The optimisation process

844 (52) In practice, optimisation of protection in existing exposure situations is  
845 implemented through a process that involves (a) the assessment of the exposure  
846 situation taking into account economic and societal factors; (b) identification of the  
847 possible protective options to maintain or reduce the exposure to as low as  
848 reasonably achievable; (c) the selection and implementation of the most appropriate  
849 protective options under the prevailing circumstances; and (d) the regular review of  
850 the exposure situation to evaluate if there is a need for corrective actions, or if new  
851 opportunities for improving protection have emerged. In this iterative process, the  
852 Commission considers that the search for equity in the distribution of individual  
853 exposures (i.e. the objective to limit the possibility that some individuals may be  
854 subject to much more exposure than the average in a group exposed under similar  
855 circumstances), and the improvement of radiological protection culture are  
856 important aspects (ICRP, 2006). When optimising protection, the Commission also  
857 recommends “*the need to account for the views and concerns of stakeholders*”  
858 (ICRP, 2007).

859 (53) Detailed advice of the Commission on how to apply the optimisation  
860 principle in practice has been provided earlier (ICRP, 1983, 1989, 1991b, 2006a),  
861 and remains valid.  
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## 4. IMPLEMENTATION OF THE SYSTEM OF PROTECTION

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### 4.1. Protective actions

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(54) The review of potential protective actions to control exposure in aviation shows that there is little room to manoeuvre. In *Publication 75* (ICRP, 1977), the Commission noted that “*the control of [cosmic radiation] exposure is mainly ensured by restrictions on the flying time and route selection*”. Indeed, shielding of aircraft (fuselage) is not a feasible option. For example, a 30 g cm<sup>-2</sup> shielding is necessary to achieve a reduction in the dose rate at 12,000 metres by only 20%. Even flying time limitation and route selection are difficult actions to implement.

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- Flying time limitation. Since the dose depends on flight time, work planning of aircraft crew is a means to limit time in air. However, limiting flight time of aircraft crew increases the number of people exposed, and its implementation at large scale may raise societal and economic problems.

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- Route selection. It is conceivable to limit exposure by choosing the flight route and acting on altitude and latitude.

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- Altitude. As described in Section 2.2, the Earth’s atmospheric layer provides significant shielding from cosmic radiation. Optimisation by flight level is a matter of fine-tuning taking into account factors such as weather condition and air traffic but also costs. For example, it is estimated that a reduction of flight altitude by 1,300 metres can reduce dose by 30%. However, this change in altitude increases the risk of incident, and also fuel consumption and cost by 2% (Hammer and Blettner, 2014).

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- Latitude. As also described in Section 2.2, the Earth’s magnetic field deflects many cosmic radiation particles that would otherwise reach ground level, and this effect is most effective at the equator and decreases at higher latitudes. However, optimisation by latitude, in particular re-routing polar flights, increases flight distance, time, and also cost.

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(55) Regarding the exposure during an SPE, it could be envisaged to reduce the altitude of flying aircrafts and delay flights that have yet to take off. The implementation of these actions requires the use of sophisticated information systems, which currently remain difficult to develop given technical and organisational considerations. It can also disrupt air traffic, which is already tightly scheduled, and increase the potential for incidents.

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(56) In view of the current options for the control of exposure during flights, the Commission continues to emphasise that the main action to control exposures in aviation is to adapt the flight schedules of the most exposed individuals by combining flight time and route selection. For the protection in aviation, the Commission is now recommending a graded approach according to the level of exposure that individuals are likely to receive depending on the frequency of their flights.

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### 4.2. Graded approach

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(57) Important consideration for the protection from cosmic radiation in aviation are the circumstances requiring air travel, the frequency with which an individual

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908 may be exposed and the responsibilities at stake. In this regard, it is important to  
909 distinguish between people flying on their own initiative or in the context of their  
910 work at the request of their operating management. Because of these considerations,  
911 the Commission is now adopting a graded approach for the various categories of  
912 persons exposed in aviation.

913 (58) For the vast majority of people, using air transport is an occasional event,  
914 and exposure to cosmic radiation is very low (occasional flyers). The exposure  
915 becomes higher for a minority of passengers using aircraft frequently, either  
916 privately or in the course of their work (frequent flyers). For this minority of  
917 passengers, simple ways can be used by these individuals to assess their exposures  
918 and give them the opportunity to understand their exposure. For aircraft crew who  
919 generally receive more significant doses, an appropriate management of protection  
920 is required, based on a regular monitoring of all individual doses and the adaptation  
921 of the flight schedules for those individuals with doses approaching the reference  
922 level adopted by the operating management.

#### 923 **4.2.1. Occasional flyers**

924 (59) The Commission is of the view that exposure received by occasional flyers  
925 is negligible and does not warrant the introduction of protection measures.

926 (60) However, for the sake of transparency and applying the right to know  
927 principle, the Commission recommends that general information about cosmic  
928 radiation should be made available for all passengers. For example, this information  
929 could be posted on airlines' websites. These websites could make the people aware  
930 of the free and validated calculators that estimate flight doses, such as with  
931 EPCARD, SIEVERT, etc. Annex A gives estimated effective doses for some typical  
932 international flight routes.

#### 933 **4.2.2. Frequent flyers for personal preference and for professional duties**

934 (61) Groups of individuals may use aircrafts frequently for their personal needs  
935 or convenience. Other individuals fly frequently at the request of their operating  
936 management. Most frequent flyers are not exposed to cosmic radiation under the  
937 same circumstances as aircraft crew (e.g. in terms of exposure, frequency of flights,  
938 and degree of choice). Therefore, the Commission recommends that the exposure of  
939 frequent flyers be considered as public exposure (see paragraph 43), and that  
940 individuals exposed be treated in the same way as occasional flyers. The  
941 Commission recommends that general information about cosmic radiation be made  
942 available to these individuals.

943 (62) In addition, the Commission encourages frequent flyers who may be  
944 concerned by their cosmic radiation exposure to assess their personal exposure using  
945 freely available dose calculators, in order to be aware of their exposure and adapt  
946 their flight frequency as they feel the need.

947 (63) Among the frequent flyers for professional duties, a very small fraction is  
948 exposed under circumstances, which result in exposures comparable to aircraft crew.  
949 This may be the case, for example, for couriers transporting documents and  
950 materials, or air marshals. The Commission recommends that the exposure of these  
951 frequent flyers be managed in a manner similar to the requirements applying to  
952 aircraft crew. It is not the intention of the Commission to provide an exhaustive list  
953 of the professions at stake, and the decision to consider these frequent flyers as  
954 occupationally exposed should be taken on a case-by-case basis according to the

955 prevailing circumstances. This may result from an individual assessing their  
 956 exposure, and using this information to engage their employer in a dialogue, if  
 957 appropriate. A decision should result from a process involving all concerned  
 958 stakeholders.

959 **4.2.3. Aircraft crew**

960 (64) The Commission recommends that the airlines management inform the  
 961 concerned aircraft crew about radiation and cosmic exposure through educational  
 962 programmes. Information could also be provided to crew at safety meetings, and  
 963 should be given emphasis in line with other safety issues.

964 (65) Like for any occupationally exposed worker, the Commission recommends  
 965 that annual effective dose of each aircraft crew be assessed. The annual effective  
 966 dose can be derived from the staff-roster and typical effective dose rates using  
 967 dedicated computer codes. The Commission recommends the occasional use of on-  
 968 board ambient monitoring for verification and validation of dose calculations (ICRU,  
 969 2010). Because the contribution of SPEs to the total dose is marginal, the  
 970 Commission does not recommend the use of specific monitoring systems such as  
 971 real time alert systems.

972 (66) The Commission also recommends that aircraft crew doses should be  
 973 recorded, and that annual and cumulative individual doses should be made available  
 974 as per request from the individual. To facilitate potential epidemiological studies,  
 975 this information should be kept for sufficient time.

976 (67) Aircraft crew routinely undergo medical examination. The Commission  
 977 considers that exposure to cosmic radiation does not require specific additional  
 978 medical examination. Generally, routine medical examination is an opportunity to  
 979 engage a dialogue between a worker and a physician on the topic of cosmic radiation  
 980 exposure.

981 (68) When judged appropriate and to respect the selected dose reference level,  
 982 the operating management may adjust, in consultation with the concerned aircraft  
 983 crew, their flight schedule (frequency and destination).

984 **4.2.4. Summary**

985 (69) Table 4 lists the recommendations of the Commission regarding the cosmic  
 986 radiation exposure of the individuals.

987  
 988 Table 4. Recommendations of the Commission for the individuals exposed to cosmic  
 989 radiation in aviation.

	<b>Exposed individuals</b>	<b>Recommendations</b>	<b>Categories of exposure</b>
Reference level to be selected in the 5–10 mSv/year band	Occasional flyers	<ul style="list-style-type: none"> <li>• General information</li> </ul>	Public*
	Frequent Flyers	<ul style="list-style-type: none"> <li>• General information</li> <li>• Self-assessment of doses</li> <li>• Adjustment of flight frequency as</li> </ul>	

		appropriate	
	Aircraft crew	<ul style="list-style-type: none"> <li>• Individual information</li> <li>• Assessment of individual doses</li> <li>• Recording of individual doses</li> <li>• No specific additional medical surveillance</li> <li>• Adjustment of flight schedules as appropriate</li> </ul>	Occupational

990 \*Some groups of frequent flyers may be managed in a manner similar to those occupationally  
 991 exposed on a case-by-case basis according to the prevailing circumstances.

992 **4.3. Protection of embryo and fetus**

993 (70) In *Publication 82* (ICRP, 1999), the Commission concluded that prenatal  
 994 exposure in the case of an existing exposure situation does not require protective  
 995 actions other than those aimed for the general population. The Commission does not  
 996 therefore believe that actions to adjust flight schedules of pregnant women will be  
 997 necessary. Women who fly frequently and may be or expect to be pregnant should  
 998 be provided with sufficient information to make informed judgments regarding the  
 999 flight schedule and any adjustment they may wish to consider.

1000 (71) Regarding the occupationally exposed aircraft crew, it is the Commission’s  
 1001 policy that the methods of protection at work for pregnant women should provide a  
 1002 level of protection for the embryo/fetus broadly similar to that provided for members  
 1003 of the public. The Commission recommended in paragraph 186 of *Publication 103*  
 1004 (ICRP, 2007): “Once an employer has been notified of a pregnancy, additional  
 1005 protection of the embryo/fetus should be considered. The working conditions of a  
 1006 pregnant worker, after declaration of pregnancy, should be such as to ensure that  
 1007 the additional dose to the embryo/fetus would not exceed about 1 mSv during the  
 1008 remainder of the pregnancy”.

1009 (72) Generally, female workers have to declare their pregnancy to their  
 1010 employer after a few months of pregnancy. In some countries, the decision is a  
 1011 voluntary matter for the individuals. Irrespective of these differences, pregnant crew  
 1012 may receive more than a millisievert before declaring the pregnancy. To encourage  
 1013 the timely declaration of pregnancy, the Commission recommends that female  
 1014 aircraft crew and frequent flyers be informed about the risk for the embryo/fetus  
 1015 from exposure to cosmic radiation. After the declaration, the operating management  
 1016 for occupationally exposed individuals should have provision in place to adjust  
 1017 duties during the remainder of the pregnancy.

1018 **4.4. Information of the general public and stakeholder engagement**

1019 (73) Aside from experienced scientists, experts and professionals trained in  
 1020 radiological protection, citizens are usually not well informed about radiation and  
 1021 their potential health effects. On the matter of exposure to cosmic radiation, apart  
 1022 from most of the aircraft crew, few people among the general public are aware of  
 1023 this exposure, although they are constantly exposed to cosmic radiation in everyday

1024 life on the ground and at an elevated level when travelling in aircraft. However, in  
1025 recent years, there has been growing information on cosmic phenomena and  
1026 particularly solar flares (SPE), disseminated by space and weather organisations, and  
1027 relayed by media; occasionally giving rise to alerts of airlines. This information has  
1028 awakened the attention of some passengers to cosmic radiation, but also raised  
1029 questions and sometimes concerns among frequent flyers and aircraft crew about the  
1030 risk associated with cosmic radiation exposure.

1031 (74) In accordance with the right to know principle, which states that people  
1032 have the right to be informed about the potential risks that they may be exposed in  
1033 their daily life, the Commission encourages national authorities, airline companies,  
1034 consumer unions and travel agencies to disseminate general information about  
1035 cosmic radiation associated with aviation. This information must be easily  
1036 accessible and present the origins of cosmic radiation as well as the influence of  
1037 altitude, latitude and solar cycle, and indicate typical doses associated with a set of  
1038 traditional flight routes and the potential of receiving significant exposures when  
1039 flying frequently in case of a rare but intense SPE.

1040 (75) As mentioned in Sections 2.4 and 4.2, several easy-to-use tools have been  
1041 made available on the internet in recent years, which allow dose calculations  
1042 associated with all possible flights.

1043 (76) The Commission recommends that the general information on cosmic  
1044 radiation should be such that the messages are accurate, informative, and responsive  
1045 to the nature of the concerns and the challenges in terms of radiological protection  
1046 according to the situation. It is not the Commission's intent to suggest that cosmic  
1047 radiation be viewed out of proportion with other risks or considerations, but instead,  
1048 to foster a more inclusive view of all risks so that individuals can make informed  
1049 decisions.

1050 (77) In this perspective, comparison with other exposure situations to natural  
1051 and man-made radiation sources may be useful (e.g. a flight London – New York  
1052 gives the same effective dose as spending 10 days on holiday in high mountain  
1053 regions) and should be made accessible as part of the general information on cosmic  
1054 radiation. However, such comparisons must be undertaken with care, because the  
1055 perception and tolerability of risk depend largely on the characteristics of the  
1056 situation, and in particular, the benefit for the individuals of the activities that lead to  
1057 the exposures.

1058 (78) The Commission considers that, as regards to the protection against cosmic  
1059 radiation in aviation, passengers who are not occupationally exposed must remain  
1060 accountable for their choices, but that these choices should be made knowingly  
1061 based on relevant information without bias. The decision by individuals to reduce  
1062 the frequency of their flights will be based on personal considerations for which the  
1063 risk of exposure to cosmic radiation is only one element among many others. Finally,  
1064 it is up to the people who take the risk to judge about its tolerability based on  
1065 accurate information, and to make decisions for their own protection.  
1066

1067

## 5. CONCLUSION

1068 (79) The Earth is continuously bombarded from particles coming from deep  
1069 space and the Sun. The atmosphere and the Earth's geomagnetic field provide a  
1070 sufficient shielding to make exposure at ground level not of particular concern but  
1071 exposure to cosmic radiation increases with altitude. This existing exposure situation  
1072 is experienced by millions of travellers: passengers for personal reasons, or on  
1073 request of their operating management, and aircraft crew who are one of the most  
1074 highly exposed occupational populations.

1075 (80) The Commission notes that flying occasionally only contributes to a very  
1076 small increment of the dose received annually due to natural background radiation at  
1077 ground level, and does not warrant the introduction of protection measures. It is  
1078 recognised that some passengers may, for personal and very different reasons, be  
1079 concerned about exposure to cosmic radiation. The Commission thus recommends  
1080 the dissemination of relevant information to allow them to make informed decisions.

1081 (81) For frequent flyers for personal preference and professional duties, the  
1082 Commission also recommends the dissemination of relevant information and  
1083 moreover the self-assessment of their exposure in order to adjust flight frequency as  
1084 appropriate. For particular groups of frequent flyer for professional duties who are  
1085 exposed under flight durations similar to those of aircraft crew, the Commission  
1086 recommends that they engage in discussions with their organisations in order to  
1087 manage their exposure with requirements similar to those applying to aircraft crew.

1088 (82) For the protection of aircraft crew, the Commission maintains its previous  
1089 recommendations, and introduces the use of a reference level to be selected by  
1090 operating managements in the 5 to 10 mSv/year range. The specific level selected  
1091 should take into account the prevailing circumstances so that the value can  
1092 meaningfully contribute to the optimisation process. The margins for manoeuvre to  
1093 reduce exposures from cosmic radiation are very small, and the only effective option  
1094 is to reduce flight time when doses are approaching the selected reference level.

1095 (83) With the above recommendations, the Commission expects to keep doses  
1096 of the most exposed individuals –aircraft crew and some frequent flyers– to  
1097 reasonable levels. The Commission also anticipates that by raising the general  
1098 awareness of exposure to cosmic radiation in aviation. All involved stakeholders –  
1099 occasional flyers, frequent flyers and aircraft crew – are encouraged to make  
1100 informed decisions with regard to the exposures associated with flying by  
1101 considering at the same time all the benefits they receive from air travel.

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**APPENDIX A. COSMIC RADIATION EXPOSURE ASSOCIATED WITH SELECTED FLIGHT ROUTES**

<i>Effective doses are in mSv</i>	Abu Dhabi (Emirates)	Johannesburg	Kuala Lumpur	Lima	London	Mexico (city)	Moscow	New York (city)	Rio de Janeiro (city)	Tokyo	San Francisco	Sydney
Abu Dhabi (Emirates)		0.015	0.013	0.037	0.025	0.107	0.016	0.077	0.029	0.024	0.109	0.039
Johannesburg	0.015		0.025	0.046	0.027	0.048	0.028	0.045	0.032	0.035	0.072	0.096
Kuala Lumpur	0.013	0.025		0.131	0.043	0.072	0.028	0.1	0.067	0.012	0.59	0.012
Lima	0.037	0.046	0.131		0.039	0.013	0.073	0.024	0.014	0.058	0.025	0.072
London	0.025	0.027	0.043	0.0385		0.079	0.019	0.049	0.028	0.08	0.08	0.075
Mexico (city)	0.107	0.048	0.072	0.013	0.079		0.091	0.017	0.023	0.062	0.009	0.036
Moscow	0.016	0.028	0.028	0.073	0.019	0.091		0.064	0.04	0.053	0.089	0.045
New York (city)	0.077	0.1	0.1	0.024	0.049	0.017	0.064		0.025	0.095	0.03	0.058
Rio de Janeiro (city)	0.029	0.067	0.067	0.014	0.028	0.023	0.04	0.025		0.126	0.038	0.102
Tokyo	0.024	0.012	0.012	0.058	0.08	0.062	0.053	0.095	0.126		0.042	0.02
San Francisco	0.109	0.59	0.59	0.025	0.08	0.009	0.089	0.03	0.038	0.042		0.033
Sydney	0.039	0.012	0.012	0.072	0.075	0.036	0.045	0.058	0.102	0.02	0.033	

1104

Distance and flight-time were calculated with the HAVERSINE formula; effective doses are calculated for January 2012 using the software SIEVERT (<http://www.sievert-system.org/index.html>).

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